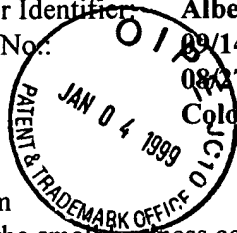


**STATEMENT CLAIMING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(c))-- SMALL BUSINESS CONCERN**

Attorney Docket No. **INK-006**  
**(2108/13)**

Applicant, Patentee, or Identifier: **Albert et al.**  
Application or Patent No.: **09/140,862**  
Filed or Issued: **08/27/98**  
Title: **Color Electrophoretic Displays**



I hereby state that I am

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: E Ink Corporation.

ADDRESS OF SMALL BUSINESS CONCERN: 45 Spinelli Place, Cambridge, MA 02138.

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d) and 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

NAME OF PERSON SIGNING Russell J. Wilcox

TITLE OF PERSON IF OTHER THAN OWNER Vice President, Business Development

ADDRESS OF PERSON SIGNING 45 Spinelli Place, Cambridge, MA 02138

SIGNATURE

A handwritten signature in black ink, appearing to read "R. Wilcox".

DATE

9-11-98



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/140,862         | 08/27/98            | ALBERT                | J INK-006                 |

021323  
TESTA HURWITZ & THIBEAULT  
HIGH TOWER STREET  
125 HIGH STREET  
BOSTON MA 02110

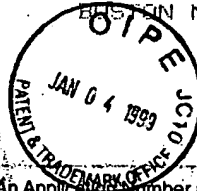
0242/1127

NOT ASSIGNED

2775

DATE MAILED:

11/27/98



### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 395

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☒ insufficient.

Applicant must submit \$ 395 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

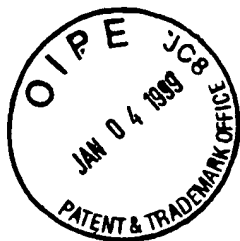
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FORM PTO-1533 (REV.9-97)

01/08/1999 MHARRIOL 00000149 09140862 65.00 05  
01 FC:205



RECEIPT \$

PATENT  
Attorney Docket No. INK-006  
(2108/13)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Albert et al.  
SERIAL NO.: 09/140,862 GROUP NO.: 2775  
FILING DATE: August 27, 1998 EXAMINER: Not yet assigned  
TITLE: Color Electrophoretic Displays

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 Attention: Application Processing Division's Customer Correction Branch, on this 30th day of December 1998.

  
Karen L. Christy

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: Application Processing Division's  
Customer Correction Branch

Sir:

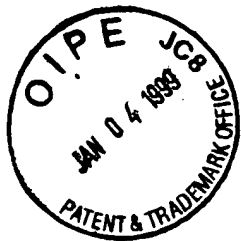
RECEIVED

FEB 01 1999

Group 2700

Submitted herewith are the following:

1. Request for Corrected Filing Receipt
2. Copy of Official Filing Receipt
3. Check made payable to the Commissioner of Patents and Trademarks in the amount of \$25.00.
4. Return Receipt Postcard.



PATENT  
Attorney Docket No.: INK-006  
(2108/13)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Albert et al.  
SERIAL NO.: 09/140,862 GROUP NO.: 2775  
FILED: August 27, 1998 EXAMINER: Not yet assigned.  
TITLE: Color Electrophoretic Displays

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: Application Processing Division's  
Customer Correction Branch

**RECEIVED**

FEB 01 1999

Group 2700

**REQUEST FOR CORRECTED FILING RECEIPT**

- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:

☒ incorrectly entered

*and/or*

☐ omitted

**Error In**

**Correct Data**

- |  |    |
|--|----|
| 1. <input type="checkbox"/> Applicant's name                               | 1. |
| 2. <input type="checkbox"/> Applicant's address                            | 2. |
| 3. <input type="checkbox"/> Title  | 3. |
| 4. <input type="checkbox"/> Filing Date                                    | 4. |
| 5. <input type="checkbox"/> Serial Number                                  | 5. |
| 6. <input type="checkbox"/> Foreign/PCT Application Re:                    | 6. |
| 7. <input checked="" type="checkbox"/> Other (Continuing Data Information) |    |

7. Insert: Provisional Application No. 60/065,629 11/18/97  
Delete: Provisional Application No. 60/065,529 11/13/97

01/13/1999 SSRLKXU 00000037 09140862

01 FC:576

25.00 OP

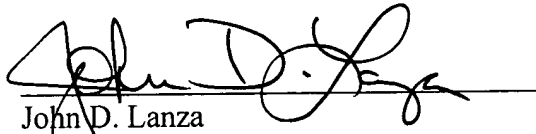
3. *(complete the following applicable item A or B)*

- A. ☐ The correction(s) is/are not due to any error by applicant(s) and no fee is due.
- B. ☒ At least one of the above corrections is due to applicant's error and the fee therefor under 37 CFR 1.19(h) of \$25.00 is paid as follows:
- ☒ enclosed is a check for \$25.00.
- ☐ charge Account No. 20-0531 \$25.00.

Respectfully submitted,

Date: December 30, 1998  
Reg. No.: 40,060

Tel. No.: (617) 248-7604  
Fax No.: (617) 248-7100

  
John D. Lanza  
Attorney for the Applicants  
Testa, Hurwitz, & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

cc:cp1

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/140,862         | 08/27/98    | 2775         | \$395.00      | INK-006             | 14    | 10     | 3      |

021323

TESTA HURWITZ & THIBEAULT  
HIGH TOWER STREET  
125 HIGH STREET  
BOSTON MA 02110

RECEIVED

FFR U 1 1999

Group 2700

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

JONATHAN D. ALBERT, CAMBRIDGE, MA; BARRETT COMISKEY,  
CAMBRIDGE, MA; JOSEPH M. JACOBSON, NEWTON CENTRE, MA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A CIP OF 08/504,896 07/20/95  
AND A CIP OF 08/935,800 09/23/97  
AND A CIP OF 08/935,800 09/23/97  
PROVISIONAL APPLICATION NO. 60/057,133 08/28/97  
PROVISIONAL APPLICATION NO. 60/057,716 08/28/97  
PROVISIONAL APPLICATION NO. 60/057,122 08/28/97  
PROVISIONAL APPLICATION NO. 60/057,798 08/28/97  
PROVISIONAL APPLICATION NO. 60/057,799 08/28/97  
PROVISIONAL APPLICATION NO. 60/057,163 08/28/97  
PROVISIONAL APPLICATION NO. 60/057,118 08/28/97  
PROVISIONAL APPLICATION NO. 60/059,358 09/19/97  
PROVISIONAL APPLICATION NO. 60/059,543 09/19/97  
PROVISIONAL APPLICATION NO. 60/065,529 11/13/97  
PROVISIONAL APPLICATION NO. 60/065,630 11/18/97  
PROVISIONAL APPLICATION NO. 60/065,605 11/18/97  
PROVISIONAL APPLICATION NO. 60/066,147 11/19/97  
PROVISIONAL APPLICATION NO. 60/066,245 11/20/97  
PROVISIONAL APPLICATION NO. 60/066,246 11/20/97  
PROVISIONAL APPLICATION NO. 60/066,115 11/21/97  
PROVISIONAL APPLICATION NO. 60/066,334 11/21/97  
PROVISIONAL APPLICATION NO. 60/066,418 11/24/97  
PROVISIONAL APPLICATION NO. 60/070,940 01/09/98  
PROVISIONAL APPLICATION NO. 60/071,371 01/15/98  
PROVISIONAL APPLICATION NO. 60/072,390 01/09/98  
PROVISIONAL APPLICATION NO. 60/070,939 01/09/98  
PROVISIONAL APPLICATION NO. 60/070,935 01/09/98  
PROVISIONAL APPLICATION NO. 60/074,454 02/12/98  
PROVISIONAL APPLICATION NO. 60/076,955 03/05/98  
PROVISIONAL APPLICATION NO. 60/076,959 03/05/98  
PROVISIONAL APPLICATION NO. 60/076,957 03/05/98  
PROVISIONAL APPLICATION NO. 60/076,978 03/05/98  
PROVISIONAL APPLICATION NO. 60/078,363 03/18/98

60/065,629 11/18/97

RECEIVED  
TESTA, HURWITZ & THIBEAULT  
DEC 04 1998  
PATENT DOCKETING

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/140,862         | 08/27/98    | 2775         | \$395.00      | INK-006             | 14    | 10     | 3      |

021323  
TESTA HURWITZ & THIBEAULT  
HIGH TOWER STREET  
125 HIGH STREET  
BOSTON MA 02110

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PAGE 2

FFR U 1 1999

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

PROVISIONAL APPLICATION NO. 60/083,252 04/27/98  
PROVISIONAL APPLICATION NO. 60/085,096 05/12/98  
PROVISIONAL APPLICATION NO. 60/090,223 06/22/98  
PROVISIONAL APPLICATION NO. 60/090,232 06/22/98  
PROVISIONAL APPLICATION NO. 60/092,046 07/08/98  
PROVISIONAL APPLICATION NO. 60/092,050 07/08/98  
PROVISIONAL APPLICATION NO. 60/093,689 07/22/98  
AND A CIP OF 08/935,800 09/23/97

FOREIGN FILING LICENSE GRANTED 11/17/98

TITLE

COLOR ELECTROPHORETIC DISPLAYS

PRELIMINARY CLASS: 345



PATENT  
Attorney Docket No. INK-006  
(2108/13)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Albert et al.  
SERIAL NO.: 09/140,862 GROUP NO.: 2775  
FILING DATE: August 27, 1998 EXAMINER: Not yet assigned  
TITLE: Color Electrophoretic Displays

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice to File Missing Parts dated November 27, 1998, enclosed is an executed Declaration/Power of Attorney for filing in the application identified above. Also enclosed is an executed Small Entity Statement for the application identified above with a check in the amount of \$65.00 in payment of the surcharge for a Small Entity in compliance with 37 C.F.R. 1.27.

Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

Respectfully submitted, .



John D. Lanza  
Attorney for Applicant  
Testa, Hurwitz, & Thibault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: December 30, 1998  
Reg. No. 40,060

Tel. No. (617) 248-7604  
Fax: (617) 248-7100



Sector \$



PATENT  
Attorney Docket No. INK-006  
(2108/13)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Albert et al.  
SERIAL NO.: 09/140,862 GROUP NO.: 2775  
FILING DATE: August 27, 1998 EXAMINER: Not yet assigned  
TITLE: Color Electrophoretic Displays

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 Attention: Application Processing Division's Customer Correction Branch, on this 30th day of December 1998.

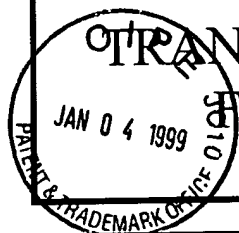
  
Karen L. Christy

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are the following:

1. Transmittal Form.
2. Fee Transmittal.
3. Response to Notice To File Missing Parts.
4. Copy of Notice to File Missing Parts Application (PTO-1553).
5. Executed Declaration and Power of Attorney for Utility or Design Patent Application.
6. Statement Claiming Small Entity Status.
7. Check made payable to the Commissioner of Patents and Trademarks in the amount of \$65.00.
8. Return Receipt Postcard.



# TRANSMITTAL FORM

|                           |                   |
|---------------------------|-------------------|
| Application Serial Number | 09/140,862        |
| Filing Date               | August 27, 1998   |
| First Named Inventor      | Albert            |
| Group Art Unit            | 2775              |
| Examiner Name             | Not Yet Assigned  |
| Attorney Docket No.       | INK-006 (2108/13) |

## ENCLOSURES (check all that apply)

|   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b><br><input checked="" type="checkbox"/> <b>Check Attached</b><br><input type="checkbox"/> Copy of Fee Transmittal Form<br><br><input type="checkbox"/> Amendment/Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Letter to Official Draftsperson including Drawings<br>[Total Sheets ___]<br><br><input type="checkbox"/> Extension of Time Request<br><br><br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Form PTO-1449<br><input type="checkbox"/> Copies of IDS Citations<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application | <input checked="" type="checkbox"/> <b>Copy of Notice to File Missing Parts of Application (PTO-1553)</b><br><br><input type="checkbox"/> Formal Drawing(s)<br><br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><br><input type="checkbox"/> To Convert to a Provisional Application<br><br><input type="checkbox"/> Executed Power of Attorney by Assignee of Entire Interest Revocation of Prior Powers and New Power of Attorney<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><br><input checked="" type="checkbox"/> <b>Executed Declaration and Power of Attorney for Utility or Design Patent Application</b><br><br><input checked="" type="checkbox"/> <b>Small Entity Statement</b><br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> <b>Return Receipt Postcard</b><br><br><input checked="" type="checkbox"/> <b>Certificate of First Class Mailing under 37 C.F.R. 1.8</b><br><br><input checked="" type="checkbox"/> <b>Additional Enclosures</b><br><br><input checked="" type="checkbox"/> <b>Check made payable to the Commissioner of Patents and Trademarks in the amount of \$65.00</b> |
|---|---|---|

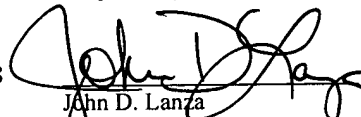
## CORRESPONDENCE ADDRESS

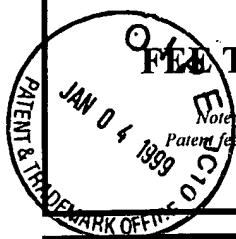
Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Date: December 30, 1998  
Reg. No. 40,060  
Tel. No.: (617) 248-7604  
Fax No.: (617) 248-7100

Respectfully submitted,

  
John D. Lanza  
Attorney for Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110

**FEE TRANSMITTAL**

Note: Effective October 1, 1997.  
Patent fees are subject to annual revision

Complete if known

|                           |                   |
|---------------------------|-------------------|
| Application Serial Number | 09/140.862        |
| Filing Date               | August 27, 1998   |
| First Named Inventor      | Albert            |
| Group Art Unit            | 2775              |
| Examiner Name             | Not Yet Assigned  |
| Attorney Docket No.       | INK-006 (2108/13) |

**METHOD OF PAYMENT**

1. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below to Deposit Account No. 20-0531.  
☒ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.

**FEE CALCULATION****1. FILING FEE****Large Entity**

| Fee (\$) | Fee Description        | Fee Paid |
|----------|------------------------|----------|
| 790      | Utility filing fee     |          |
| 330      | Design filing fee      |          |
| 150      | Provisional filing fee |          |

|              | Number Filed | Number Extra | Rate         | Amount |
|--------------|--------------|--------------|--------------|--------|
| Total Claims | 9            | - 20 = 0     | x \$ 22.00 = | 0      |

|                    |   |         |              |   |
|--------------------|---|---------|--------------|---|
| Independent Claims | 1 | - 3 = 0 | x \$ 82.00 = | 0 |
|--------------------|---|---------|--------------|---|

☐ Multiple Dependent Claim(s), if any \$270.00 = 0

TOTAL:

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$)

**2. AMENDMENT CLAIM FEES**

| Claims Remaining After Amend.   | Highest No. Previously Paid For | Present Extra | Rate         | Fee Paid |
|---|---------------------------------|---------------|--------------|----------|
| Total   | -                               | =             | x \$ 22.00 = |          |
| Indep.  | -                               | =             | x \$ 82.00 = |          |
| <input type="checkbox"/> First Presentation of Multiple Dep. Claim + \$270.00 = |                                 |               |              |          |

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description   | Fee Paid |
|-----------------------|-----------------------|---|----------|
| 130                   | 65                    | Surcharge - late filing fee or oath                             | 65.00    |
| 50                    | 25                    | Surcharge - late provisional filing fee or                      |          |
| 130                   | 130                   | Non-English specification                                       |          |
| 2,520                 | 2,520                 | For filing a request for reexamination                          |          |
| 110                   | 55                    | Extension for reply within first month                          |          |
| 400                   | 200                   | Extension for reply within second month                         |          |
| 950                   | 475                   | Extension for reply within third month                          |          |
| 1,510                 | 755                   | Extension for reply within fourth month                         |          |
| 2,060                 | 1,030                 | Extension for reply within fifth month                          |          |
| 310                   | 155                   | Notice of Appeal  |          |
| 310                   | 155                   | Filing a brief in support of an appeal                          |          |
| 270                   | 135                   | Request for oral hearing  |          |
| 130                   | 130                   | Petitions to the Commissioner                                   |          |
| 50                    | 50                    | Petitions related to provisional applications                   |          |
| 240                   | 240                   | Submission of Information Disclosure Statement (37 CFR 1.97(c)) |          |
| 130                   | 130                   | Submission of Information Disclosure Statement (37 CFR 1.97(d)) |          |
| 790                   | 395                   | Filing a submission after final rejection (37 CFR 1.129(a))     |          |
| 790                   | 395                   | For each additional invention to be examined (37 CFR 1.129(b))  |          |
|                       |                       | Other (Specify)   |          |

SUBTOTAL (3) (\$) 65.00

SUBTOTAL (1)

SUBTOTAL (2) 0.00

SUBTOTAL (3) 65.00

TOTAL (\$) 65.00

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